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Title: A novel mathematical procedure to interpret the stem radius variation in olive trees

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Abstract: Stem radius variations result from the fluctuation of environmental factors, mostly temperature trend and water availability, in turn affecting plant water balance, and plant growth. High-resolution analysis of stem radius variation provides insights into the temporal patterns in radial growth and water balance, and their relationship with environmental variables. To test the causal effects of temporal climate fluctuation on stem radius variation, a mathematical procedure was applied to normalize and synchronize radial fluctuations and environmental parameters, whose baseline is largely unexplored.

Stem radius variations were continuously monitored during two consecutive years in four saplings field-grown olive tree cultivars (Canino, Cipressino, Leccino, Maurino) in an experimental farm in central Italy, between November 2004 and October 2006, using automatic high-resolution point dendrometers. A derivative analysis approach applied on point dendrometer records was conveniently used to describe stem radius variation and to distinguish the timing of transition from the dormant winter state to the active growth stage and till the slow expansion phase.

Stem diameter patterns showed intense shrinkage events suddenly after air temperature drop below 0 °C during winter. The onset of radial growth was delimited by the occurrence of rehydration (beginning of transpiration cycles) and increase of air temperature (end of cold cycles). The course of the growing season was described by patterns of air temperature, reference evapotranspiration, cumulative degree-days, vapour pressure deficit and soil moisture deficit, and correlated to patterns in stem radius cycles. Three phases of stem radius variation were evidenced through the seasonal course: induction signal, growth period, and slow expansion.

This approach provides new and objective insights on shrinkage-swelling phenomena in Mediterranean environments, related to dehydration and hydration cycles, which are difficult to detect with empirical treatment of stem radius variation records. The ability to switch quickly between dormancy to growth would enable the olive tree to restart physiological processes and to cope with erratic climatic conditions of the Mediterranean region.

Agricultural and Forest Meteorology - manuscript submission

Dear Editor,

We have the pleasure to submit the revised manuscript entitled “**A novel mathematical procedure to interpret the stem radius variation in olive trees**”, by Claudia Coccozza, Alessio Giovannelli, Bruno Lasserre, Claudio Cantini, Fabio Lombardi and Roberto Tognetti. We believe that the manuscript has much improved following the thorough revision. We thank the Associate Editor and the Reviewer for their careful work.

Ms. Ref. No.: AGRFORMET-D-11-00298

Original title: A detailed high-resolution analytical approach to study the correspondence between tree-ring formation and seasonal course in a Mediterranean environment

Agricultural and Forest Meteorology

corresponding author: Roberto Tognetti,

Associate Editor

I have now received three reviews of the above manuscript. As you can see, two of them were rather disappointed with the clarity and organisation of the paper, despite the fact that they thought it was interesting. I am afraid the manuscript will therefore need substantial and careful revision before it can be accepted for publication. Please take the reviewers' comments into consideration when you prepare your revised manuscript.

It would be helpful to me if you could return the reviews annotated, in a different colour or font, to indicate how you have responded to each of the comments.

Your electronic submission of the revised manuscript should include a word-processor version (which can include figures) and a separate folder/files with the figures. The manuscript may not be accepted if the figures are not properly drafted at adequate resolution and clarity.

We have thoroughly reorganized the manuscript for clarity, according to the indications made by the Associate Editor and Reviewers. Thanks to the careful work done by Reviewers, we realized that the main objective of the study was ambiguous. The aim of this study was not that of defining the main phenological events related to cambial activity or wood formation. In fact, we did not support dendrometer records with anatomical or ecophysiological measurements. Instead, our purpose was to test the consistency of a novel mathematical procedure for the standardization and synchronization of stem radius variation and climatic parameters. Indeed, the non-linearity responses of biological systems to climatic events make interpretation of the results obtained with dendrometers still uncertain, because of the superimposed effects of environment and plant factors. Having this in mind, we did not use standard nomenclature as widely used in papers reporting dendrometric measurements (Reviewer 4 highlighted this point).

We rewrote several paragraphs of the manuscript following reviewers' suggestions in a way that should help ascertaining crucial sequences of various research steps.

A detailed point-by-point reply follows, detailing how we have handled the revision process. All the weakness points raised by Reviewers have been addressed and implemented in the new manuscript.

Reviewer 1

The results presented in the manuscript "A detailed high-resolution analytical approach to study the correspondence between tree-ring formation and seasonal course in a Mediterranean environment" are interesting and worth publishing in an international journal, however, not in the current form.

The manuscript is neither well-structured nor well-written. The text is very confused. The introduction doesn't match the content of the paper very well, as you get the impression that the paper will be about phenological models and the effects of cambial activity on wood properties.

The manuscript was completely re-structured. We removed from the Introduction the sentences about phenological models and effects of cambial activity on wood properties, clarifying the objective of the study.

The description of the methods and the results are often superficial. The worst, however, was the number of statistical and methodical weaknesses in the study.

We have restructured the methodological approach (see below*).

I do not understand why the authors decided to investigate different cultivars as this increase the variation in the data. The difference between the cultivars was neither analysed in a proper way nor interpreted from an ecological point of view.

These cultivars differ in tree growth performances and are of interest in agroforestry plantations for high quality wood production, which makes them appropriate in testing procedures for an objective analysis of stem diameter dynamics and separating overlaid environmental and genetic factors that affect dendrometer time series.

There is no need to cross-date your data as you have no missing values (you have continuous measurements). In addition there is a very strong seasonal trend in your data, thus the correlation should be mainly due to the seasonal trend. In addition you are analysing the same species under experimental conditions. The dendrometer responses strongly to variations in environmental conditions (e.g. rainfall events). Thus it is absolutely logical that you have a strong Gleichlaeufigkeit. If you wouldn't, this would contradict the ecological basis of dendrochronology. Thus this is not a result that is worth to be published.

*** We did not explain the reason of using the procedure clearly, which has contributed to misinterpret our approach. We have now rephrased this equivocal sentence. We did not cross-date our data with the intent to reconstruct missing data. Instead, the procedure (classically used in dendrochronology) was applied to compare raw diameter values, by using the percentage agreement in the signs of the first differences of the two time series. This approach was applied to hourly stem radius variation. The degree to which the time series of different cultivars are correlated was estimated through cross-correlation method to evaluate intrinsic variability under the same environmental conditions.**

The mathematical approach is not well described and the advantage of this analysis is not clear. I would recommend to show the temperature data in Fig 2 on top of the dendrometer data on a secondary axis. Thus you are able to compare the time series without the need to calculate indices. In addition you can delete Fig 4.

*** The mathematical approach has rephrased and clarified. We have reorganized Fig 2 following Reviewer's suggestion, showing temperature in the same graph of dendrometer data. We cannot not delete Fig 4, as being the application of our mathematical approach. We hope that the amended manuscript clarifies the approach used and main outcome of the study.**

It is unclear why the wood formation in spring was only linked to temperature and the on in summer to evapotranspiration. Now only the time series are shown which should fit. That's not very convincing. I would recommend to calculate correlation (or climate response) with all available parameter. This can be presented in a table. The best fitting parameter should than be shown in a combined graph, like recommended for Fig 2.

We have not presented data on wood formation but only on stem radius variation, thus we did not link wood formation to temperature in spring and to evapotranspiration in summer. We have rephrased these sentences, elucidating that stem radius variations (more precisely dendrometer data without defining wood formation information) was related to minimum air temperature in winter and to evapotranspiration in spring and summer, considering these parameters as main factors affecting dendrometer data.

It is unclear why the dendrometer data set was detrended. I think it is better to stick with the raw data, but not the cumulative ones but rather the increment rates.

*** The dendrometer data set was detrended because the raw data (see Reviewer 1) are characterized by growth increment. The detrending or normalization process is useful to equalize the growth variation between dendrometer series of the 4 olive tree cultivars.**

Title

The title is not very clear. It is not clear what is meant with 'seasonal course'. In addition the title is too long. Title and running title have been changed.

Abstract

The abstract is too very short and oft not very clearly written. It is hard to understand the abstract without reading the rest of the paper. You should focus more on the most important results of the paper. The Abstract has been rewritten and implemented with results. Line 19 to 20 - sentence unclear. Rephrased.

Line 25 - The term "growing season" is unclear. Do you mean the period or the duration of the growing season? Rephrase. Rephrased.

Line 26 - Explain "reference evapotranspiration" in contrast to normal evapotranspiration. Reference evapotranspiration has been preferred to potential evapotranspiration because of ambiguities of the latter term (see FAO paper n. 56); obviously, a definition does not apply to this manuscript.

Line 28 - "development." is unclear. Explain these terms. Explained.

Line 29 to 32 - This paragraph should be moved upwards to the introduction part. This is not a conclusion based on this study. The paragraph has been moved upwards to the introduction part.

Introduction

The introduction is not straightforward. The structure is not very convincing and there is a discrepancy between the content of the introduction and the rest of the paper since the introduction focused too much on phenological models and the effects of cambial activity on wood properties. The research gaps are not described. The Introduction has been rewritten focusing on the objectives of study approach. We have removed sentences referred to phenological models and the effects of cambial activity on wood properties.

I would recommend to use a very simple structure focussing on the main point of the introduction: The onset of wood formation is triggered by temperature; the variation within the year is following the water availability; olive tree is a good experimental species for this kind of study since. The Introduction has now a more integrated structure, with stem radius variation measured through dendrometers as main subject, and environmental factors, the plant material, the mathematical approach as specific focus.

Line 44 - Change 'woody ring formation' in 'wood formation'. Comment accepted and sentence rephrased.

Line 46 to 51 - Delete/rephrase wood properties is not the focus of this paper. Comment accepted and sentence rephrased.

Line 51 - Explain "radius variation phases and rates of stem growth". Comment accepted and sentence rephrased.

Line 55 - add 'is' after 'changes'. Comment accepted and implemented.

Line 57 - add 'and cessation' after 'activation' and delete 'in spring and dormancy in winter'. Comment and implemented.

Line 58 - But there are studies proving that reduced water availability can lead to an early cessation of wood formation (see Dünisch & Bauch 1994 Holzforschung 48, 447-457 or Eilmann et al. 2011 Journal of Experimental Botany 62, 2763-2771). Comment accepted and implemented.

Line 59 - unclear rephrase. Rephrased.

Line 63 to 65 and 92 to 95 and 369 to 371 and 438 to 439 - switch of the format. Hope this is not due to the fact that this parts were copied from another paper!!! Different format was due to PDF formatting and because we used both Mac and PC for writing....

Line 67 - 'genotypes' 'cultivars' etc. Stick with one term, I prefer cultivars, since I am not convinced that genotypes is correctly used here, since these are probably not subspecies. Comment implemented.

Line 68 - Give more information on the ecology and eco-physiology of olive trees. How do the cultivars differ. More information has been included.

Line 69 to 78 - I do not see the link between this paragraph and the rest of the paper. The paragraph has been rewritten.

Line 80 - Explain 'as being useful for.' **The sentence has been rewritten.**

Line 81 - 'Reference values' for what?? Explain. **An explanation has been included.**

Line 86 to 87 - Change 'continuous recording of MDS offers the promising possibility for sagacious irrigation scheduling in olive tree plantations' into MDS can be used as control parameter for irrigation in olive tree plantations'. **The comment has been implemented.**

Line 87 to 91 - needs to be shorten. **Done.**

Line 96 to 101 - the mathematical approach really comes out of the blue. There is no introduction to this approach. **The mathematical approach has been cleared.**

Line 101 - Explain 'reference evapotranspiration' and add the vpd. **Reference evapotranspiration is potential evapotranspiration (the term "potential" has been discouraged by FAO because of ambiguities).**

Line 102 to 106 - the study aims are very weak. There is no real hypothesis. The aims are more methodical and not very ecological. In addition they are not very new and innovative, as a lot of studies proved that are useful to study stem variation. This paragraph needs to be rephrased. **Sentences rephrased.**

Material and Methods

The description methods are made in general and superficial terms. In addition the introduction of the study was not done in a proper way, as the facts that this is an experiment and that the study is based on saplings are quite surprising. **The Introduction has been rewritten in several parts, including the aim of the study. Considering the objectives of the study, the selected plant material was the most suitable for highlighting small differences between cultivars (if any) and for evaluating the efficiency of the mathematical approach (uniform stem structure among cultivars).**

Line 113 - Explain how the cultivars differ. **A brief description of the cultivars has been provided in the Introduction.**

Line 114 - Explain 'free vase system'. **The term has been corrected and a reference provided.**

Line 121 - The fact that the study deals with saplings should be given in the introduction and the abstract as well. **This has been specified throughout the manuscript.**

Line 129 - Give the equation use for the calculation of the VPD. **We have provided a reference for the equation used.**

Line 129 - Give the equation use for the calculation of the evapotranspiration'. **We have provided a reference for the equation used.**

Line 131 - Explain 'reference evapotranspiration'. **See above.**

Line 134 - What is a period? A day, week month??? **A period was defined by distinctive stem radius variation (see Table 1).**

Line 134 - Explain the single sine method. **An explanation has been provided.**

Line 137 - Explain the 'simple method'. **An explanation has been provided.**

Line 140 - Change 'Stem data collection' into 'Dendrometer measurement'. **Changed.**

Line 143 to 149 - Delete everything from 'The operating principle.' to '.data recording system.' **Changed.**

Line 152 - To minimize the temperature effect on what?? **The whole paragraph on dendrometer measurements has been changed, and referred to previous papers. For the sake of clarity, to determine the response of the stem to temperature changes, a thermal coefficient was previously calculated (in a separated experiment in a climate chamber). The temperature coefficient was calculated as the difference between data recorded from dendrometers installed on the stems (temperature sensitive) and a dendrometer installed on a quartz tube (temperature insensitive), along a temperature gradient (-20°C/+50°C). This information was used to correct the raw data.**

Line 153 - Explain 'from point dendrometers installed on a quartz tube'. **The whole paragraph on dendrometer measurements has been changed, and referred to published papers.**

Line 155 to 174 - This paragraph is absolutely unclear. I do not see the necessity to cross-date the data, since you have no missing values (you have continuous measurements). The calculation of correlation doesn't make sense since you have data with a strong seasonal trend. In addition the dendrometer responses strongly to variations in environmental conditions (e.g.

rainfall events). In addition you are analysing the same species under the same conditions. Thus it is absolutely logical that have a strong Gleichläufigkeit. Thus this whole paragraph is not worth to be published. **We have now rephrased this equivocal sentence. We did not cross-date our data with the intent to reconstruct missing data. Instead, the procedure (classically used in dendrochronology) was applied to compare raw diameter values, by using the percentage agreement in the signs of the first differences of the two time series. This approach was applied to hourly stem radius variation. The degree to which the time series of different cultivars are correlated was estimated through cross-correlation method to evaluate intrinsic variability under the same environmental conditions.**

Line 177 - Explain 'considering the amplitude and frequency phase'. **The comment has been implemented.**

Line 179 - explain the different phases mentioned. **The comment has been implemented.**

Line 183 to 188 - Unclear. Rephrase and give a figure explaining the phases. **The comment has been implemented.**

Line 205 to 145 - The motivation and the procedure of this mathematical approach is unclear. From my point of view I would say this is not necessary. However the methods are so poorly described that it is possible that I just miss the crucial point. So if this remains in the manuscript please explain the analysis following Coccozza, the detrending and standardization procedure, the kind of growth function you used, the 'pronounced noise signal', the "derivative approach". In addition the detrending removed the endemic growth trends and not the 'effects of tree growth'. **We have now explained the motivation and the approach used for processing the dendrometer time series (see above).**

Line 248 to 261 - I do not see the additional value of this analysis for the paper. The results are NOT interesting and are not discussed. Thus I would delete this paragraph. **We have now better explained the reason for including the pointer day analysis. Pointer days were analysed as pointer years in dendrochronology to highlight sudden changes in climatic conditions affecting daily growth patterns. Extreme growth reactions were classified as "pointer days" representing extreme days, in terms of growth conditions (see Mat&Met).**

Results

The results are very difficult to follow as you got yourself into a terrible muddle. The text is not referring to the figures and tables, so the reader gets lost. A lot of reference where made to two Figures at once, and it is not plainly explained what's visible in the figures. Often the authors show no clear separation between results and discussion as there is a lot of interpretation in the results part. **We have now removed from Results comments. The all chapter has been changed, as well as the presentation of results in tables and figures.**

Line 266 to 282 - This is absolutely not interesting! If you want to describe the climate do this in relation to the analysed wood formation. **We have removed this paragraph, and implemented the dynamics of environmental data within specific paragraphs related to stem cycles in winter and growing seasons.**

Line 283 to 292 - Were can I see this in Fig 1? Or are you referring to Fig 6? **We have rephrased the sentence.**

Line 302 to 310. This paragraph is totally chaotic. Describe the results figure by figure. **We have implemented this comment.**

Line 303 - give a reference to literature or a figure after 'patterns'. **We have added a reference to figure.**

Line 303 to 304 - What is the basis for the statement 'direct sensitivity to low temperature'? Anyway this might be too much interpretation for the results chapter. **We have moved this sentence and explanation to Discussion.**

Line 304 - Explain: where can I see the 'cambium phenology'? **We have rephrased this sentence.**

Line 308 - 'induced by 'this is Discussion. Done.

Line 312 - 'the effect of Tmin resulted ' this is Discussion and not very convincing. **We have rephrased the sentence.**

Line 317 - explain 'thermal cycles'. **Thermal cycles have been explained.**

Line 320 - explain 'thermal peak'. Refers to minimum and maximum temperature (see Mat&Met)

Line 327 - 'defined by the occurrence of reduced stem shrinkage' This is indeed a very strange definition. I would recommend to use the occurrence of increment as indication for the onset of growth. We have rephrased the sentence: "The onset of growth was defined by the occurrence of stem increment following reduced stem shrinkage events in combination with varying environmental conditions, namely induction of transpiration cycles and increase of air temperature in correspondence with the end of cold cycles."

Line 335 - Explain 'cumulative ET0'. We have now mentioned cumulative values in the figure caption.

Line 338 to 340 - This is not very obvious. Rephrase and give reference. We have rephrased the sentence.

Line 342 to 344 'Data fitting.' Sentence not clear. Rephrase. We have rephrased the sentence.

Line 344 to 359 - Move this part to the Material and Methods chapter and only give the main results here. Done.

361 to 365 - Delete (see Material and Methods). We have now explained the reason and procedure for analysing pointer days (see above). We believe that this information may be useful to colleagues dealing with dendrometer records.

Discussion

The reference to the data and figures is often missing. We have now added mention of figures and data.

Line 373 - Delete the link to the homepage give Zweifel & Haesler 2000 as a reference instead. Done.

Conclusion

Line 501 - Where are you providing a mechanistic model? We have removed this comment.

Figure & Tables

Fig 1 - The figures are too small to compare the development with each other. Thus delete the graphs from 2004. Explain ET0-HS and ET0 cum. Give the increment rates instead of the cumulative increment. Add a line with the beginning and end of the growth (based on your definition). We have made a new figure following these suggestions.

Fig 2 - show the temperature in the same graph as the stem variation by using a secondary axis. Show the different cultivars in different colours or show only the mean, because now you cannot distinguish between the different types. We have reorganized the figure following these suggestions.

Fig 3. - Add a label on the x axis on the upper graph. Change 'black' into 'closed' and 'white' into 'open'. We have deleted this figure.

Fig 4 - delete graph. We cannot delete this figure that includes the mathematical approach of study.

Fig 5 - show the evapotranspiration in the same graph as the stem variation by using a secondary axis. Show the different cultivars in different colours or show only the mean, because now you cannot distinguish between the different types. In Fig 5 (now Fig. 4) we have tried to use a secondary axis for the evapotranspiration, but the graph resulted caotic. We opted for including vertical lines, as being useful to identify the correspondence between stem and ET values. We would prefer avoiding the use of colour lines to distinguish cultivars; there are not significant differences between cultivars.

Fig 6 - Include data of temperature (and VPD.) and present the data in a table. We have transformed Fig 6 in the Table 2; temperature in Table 1 is already referred to stage in Table 2.

Table 2 delete table. - We have deleted the former Table 2 (with TSAP values, which have been implemented in the text).

Reviewer 3

This is a paper certainly of interest for AFM. The paper should be published after minor revision.

This is an interesting, important paper, which deserves highest attention. Scientifically sound, the paper is novel and presents first very important data on intra-annual growth patterns of four field-grown olive tree cultivars (Canino, Cipressino, Leccino and Maurino), which can be useful to understand cambial activity and more generally growth physiological processes in this agriculturally important tree, and to suggest adequate agronomical management treatments. Although several studies have been undertaken to link stem radial variations with climate, interpretation of the results is still uncertain because of the superimposed effects of water status, air temperature and cambial activity. Since automatic measurements of radius variations in the stem can provide an effective and sensitive proxy for the water status of trees, measurements of trunk diameter variation have been also proposed for irrigation scheduling in tree crops, including olive trees. However, precise irrigation scheduling and implementation in phenological modelling, require a reliable and sensitive stress indicator, easy to interpret and reliable. In this study, stem radius variation in olive trees was addressed by using an analytical solution to seasonal synchronization of stem phenology and environmental parameters.

The importance of this study relies on studying stem phenology through an original mathematical approach that provides new and thorough insights on tree adaptation to changing environmental conditions. This approach will be useful in predicting the response of woody plants to global warming in threatened Mediterranean-type ecosystems.

We thank the Reviewer for his appreciation. We have used these comments to improve parts of the text.

*1 - Now and then throughout the text (e.g., line 27) "tree-ring formation" is mentioned. The authors should clarify more clearly that stem radius variation is not tree-ring formation. Furthermore, on this topic, a short discussion of a paper published by Gall et al. in Tree Physiology around the end of the 90s or beginnings 2000s may help. If I remember well, Gall et al. had an argument with Zweifel et al. and show results of interest in this context. **We have implemented this comment.***

*2 - The analysis of "pointer days" is a good new approach, but I have never heard about them and I would like to see a short definition of them. **We have explained the reason of using this approach (see Mat&Met, and above).***

*3 Line 373 - I don't like the citation of the url, I'd prefer a more orthodox citation of a relevant appropriate paper published in a scholarly journal. **We have changed this citation.***

*4 Page - please notice that a special issue dedicated to these topics of the journal Dendrochronologia was just published, possibly some papers might be of some interest for the discussion. **One of the Authors of the present manuscript contributed to the special issue.***

*5 Line 497-8 - "did not support differences in cultivar sensitivity to environmental conditions": isn't odd? **We have implemented this comment.***

*6 At the end of the conclusions I would add a sentence on the importance of the findings for irrigation management. **A sentence on this topic has been added.***

Reviewer 4

This work needs of substantial revision before being suitable for publication. The manuscript is well organized, but it is often obscure. The Introduction section must be rewritten, and half of the conclusions section could be deleted. Parts of the Materials and Methods are confusing, partly because the standard names given to the variables derived from stem diameter variation (SDV) outputs, widely accepted by the scientific community, are not used. The Results and the Discussion sections show two main weak points: (i) Detailed analysis between SDV and environmental variables are shown. But they are made in young trees, and it is known that this kind of relationships, when obtained in young trees, in which growth is very active, do not hold from year to year; and (ii) the soil water status, widely recognized as one

of the main factors influencing SDV, is completely disregarded in this study. On the contrary, the authors give a major relevance to the minimum air temperature (Tmin). The problem with this variable is that it may significantly curtail water uptake, because the root activity of the olive tree decreases, and ceases, at low temperatures. I wonder to what point the analysis with Tmin shown in this study have been influenced by differences among cultivars and years on the soil water availability, and by the mentioned effect of Tmin on the root activity. It is clear that the manuscript must be rewritten before being suitable for publication. A new version, less verbose, with clearer terms, better focused, is needed. Such version could be acceptable for publication provided the two following aspects are addressed: (i) effects of the soil water status on the collected SDV records, and (ii) the direct influence of Tmin on wood growth must be separated from the limiting influence of Tmin on root activity. Below I give details that illustrate the mentioned concerns:

The highlights should be reviewed: The first one is expected. Water uptake stops at low temperatures (for olive, see Pavel and Fereres, 1998, *Physiol. Plantarum*, 104:525-532), so the tree dehydrates and, consequently, the trunk shrinks.

The second and third ones are well known. Many papers on the use of SDV records for monitoring water stress and scheduling irrigation in fruit trees, including olive, include this sort of analysis. I would rather include in the highlights main features of the analytical approach, especially on its utility.

We are aware of the paper by Pavel and Fereres (1998). They worked on potted 1-yr-old olive tree seedlings and found reduced root conductance in plants subjected to low temperature. The soil temperature levels affecting plant water uptake remained relatively constant over the range of ET0 of 1-2 mm day⁻¹ during winter and early spring months. However, the point is that a detailed analysis of low temperature on root water uptake and testing of the use of stem diameter variation records in irrigation scheduling were both beyond the aim of this study. We have clarified the aim of the study in the Introduction and related this to the Conclusion. We used terms unlike the standard nomenclature, since the aims of the study were not those of studying the effect of climate variables themselves, rather to synchronize the relationship between stem radius variations and climate variables, through a mathematical approach. We have now harmonized the terms by focusing on stem radius variation (we do not seek to generate alternative expressions). We applied a mathematical exercise different from typical studies, which has the potential to strengthen the output of dendrometers when implemented in ecological research and for irrigation scheduling. The soil moisture content was not available. Although we agree with the Reviewer in recognizing its influence on stem radius variation, soil moisture would add just another environmental parameter to the analysis. Nevertheless, we also believe that soil moisture had a minor effect on stem radius variation in these plants in comparison with atmospheric variables, as being young (stem of small size), and because roots penetrate deeply in this uniform soil profile (shoot growth is more sensitive to water deficit than root growth).

Title and keywords

*The Title is too long and rather confusing. The words "detailed high-resolution" can be deleted ("detailed" at least); The "seasonal course" of what? Keywords: do not mention words already included in the title. **We have changed title and keywords.***

Introduction

*Line 44. - Taking into account that the olive is a diffuse-porous tree, I quite do not see the interest of the authors on mentioning woody rings instead of just stem growth. **We have changed this throughout the manuscript following the suggestion emphasized by the Reviewer.***

*L53. - This sentence does not agree with that in L82. There is a good bunch of papers reporting relationships and baselines between main environmental variables and stem growth, for a variety of tree species (see reviews by Drew and Downes 2009, Ortuño et al. 2010, Fernández and Cuevas 2010). **Comment and references have been included.***

I quite do not see the relevance of the information included in the first paragraph of page 4 (except for the last sentence). I would rather develop the second paragraph by giving more details on the papers with olive in which the relations between stem growth and environmental variables have been studied. Some key papers are missing and, above all, the authors could give an overview of how far those papers have gone and which are the main gaps in the actual knowledge. We have changed this sentence following the Reviewer's suggestion.

The role of olive fruit development and crop load, one of the key factors influencing the stem growth dynamics, should be mentioned in this section. See the work by Moriana, Pérez-López, and others.

The aims of the paper must be rewritten: which "non-invasive" methods? If you refer to point dendrometers only, be careful, these have been widely used already, with the same purpose. The title suggests that the main objective of this work was the development of the analytical approach, but here in the aims this point seems to have a minor relevance. Should not be outlined? We have changed comments on these topics following the Reviewer's suggestion, including references.

Materials and methods

I am not familiar with most of the analysis procedures used by the authors. My expertise is in the use of SDV data for monitoring water stress and scheduling irrigation. For this agriculture approach, SDV data are handled in a different way. Taking into account the background of the authors (half of the authors, at least, have a sound technical background on the use of SDV data for forestry purposes), I will assume that the analytical procedures are correct. This section is well structured. Some parts, however, are confusing, as detailed below.

Indeed, we aimed at implementing a complementary analytical approach. We have clarified Mat&Met in several parts.

L114. - 4 m x 4 m, not 4x4m. Corrected.

I guess the dendrometers mentioned in L142 and in L149 were the same, but this is not clear. Please clarify. The sentence has been clarified.

L180. - Why Tmin? Relationships made for different species show robust correlations between stem diameter variations and Tmax or Tavg, but not with Tmin. This point must be clarified.

We considered minimum air temperature signal on stem radius variation both in winter and growing season; although, a significant induction of stem variation was only observed in winter (therefore, we present these relationships). Rossi et al. (2008, *Global Ecology and Biogeography* 17, 696–707) found that temperatures above 4–5 °C (daily minimum) and 8–9 °C (daily mean) stimulated the onset of physiological processes and xylogenesis in conifers.

L180-195. - The "intensity of stem shrinkage"? What is this? Are the authors referring to what it is widely known as MDS, i.e. the maximum daily shrinkage? The information in Lines 180 to 195 is quite confusing. First, it is not clear if the authors talk at the daily level or at the seasonal level. Second, the authors do not use the standard nomenclature widely used in most papers reporting dendrometric results: MDS (maximum daily shrinkage), stem growth rate (SGR), maximum stem diameter (MXSD), etc. All these variables, and some others, characterize in detail the daily and seasonal records of stem diameter fluctuations, and their meaning is widely known. This section would be much clear if the authors use those terms.

We have clarified these sentences. As pointed out above, we did not use standard nomenclature because the traditional procedure for analysing dendrometer output was not used. MDS was defined highly sensitive to changes in water status as found by other Authors. However, shrinkage ranges in Table 1 are for winter season and were not directly related to changes in water status (e.g., Ameglio et al. 2001, *Journal of Experimental Botany* 52: 2135-2142). Again, the study did not aim to investigate environmental effects on stem radius variation itself; rather, the analytical approach was of major interest. Therefore, the study complements traditional ones, and open new opportunities for go deeper into dendrometer outputs.

L189. - VPD and ETo are not "raw meteorological data". We have implanted this comment.

L197. - The word "climatic" is appropriate for much longer weather data series. The authors must use "weather" or "meteorological" changes/data/variables, depending on the context. **C**
We have implanted this comment.

Results

L271. - This sentence shows a remarkable lack of precision: first, Fig. 2 suggests that daily, and not diurnal, temperatures were considered. Second, "negative thermal peaks" are obviously recorded "immediately below 0 °C", otherwise they will be positive. Third, and most important: the authors say the daily minimums prompted stem shrinkage. It is well known that a decrease in temperature reduces water uptake, so the plants dehydrates and the stem shrinks. The direct agent causing stem shrinkage is water stress, not the low temperatures.

We have corrected the first and second points, according to the Reviewer's suggestion. Regarding the third point, we considered low temperatures, because this parameter induces stem shrinkage in winter season, as observed by Ameglio et al. 2001 (Journal of Experimental Botany 52, 2135-2142). Certainly, in the growing season, the factor causing stem shrinkage is water stress.

L283. - Stages I to III must be described or, at least, a reference must be given for the reader to consult to which periods of the growing season these stages accounts for. **These sentences have been rephrased.**

L292. - The authors do not mention crop load. 4-yr-old olive trees may have a significant amount of fruits, and these may influence the stem growth dynamics. Please clarify this point. A reference to Table 1 must be made in this paragraph. **We have now mentioned crop load; however, it must be pointed out the objective of study (see above) and the age of plants (young saplings with low fruit yield).**

L304. The top graph of Fig. 1 shows trunk growth, but in the legend it is mentioned that that period corresponds to the "dormant season". This is contradictory. What is "cambium phenology"? **We have changed this figure; however, we have not found corresponde between the comment and the figure.**

Fig. 3 shows 4 days at winter and 4 days in spring, not enough to show the "seasonal course" (L304). **Fig. 3 has been removed and phases were explained in the text.**

L309. - I quite do not see why SDI in the growing season should be correlated with stem shrinkage in winter. **The sentence has been sentence rephrased.**

L311. - Once again, this sentence is confusing. What do you mean by "irreversible variations". **The sentence has been sentence rephrased.**

L312-316. I have already mentioned my concerns of relating Tmin with stem shrinkage. On the top of that, the authors are completely disregarding the soil water status in their analysis, which is not correct due to strong correlation between SDV and the plant water status, already mentioned. **See comments to L180 above.**

L328. - What the author means by "induction of transpiration cycles"? **The sentence has been rephrased.**

In Section 3.3 the authors mention robust correlation between SDI and ETo, VPD and SMD. The fact that these variables are correlated with the stem diameter is not new. The relationships themselves are quite useless, because it is known that, when made in young trees, they usually change from year to year, as shown in Fig.6. On the top of that, the available soil water surely influenced those relationships, but no information on the soil water status is provided. **We did not mention the robust correlation between SDI and ETo, VPD and SMD in Fig. 6, to add new experimental evidences in these relationships. Rather, we aimed to highlight the kind of correlation defined by the functions and changes in angular coefficients. Nevertheless, as suggested by Reviewer 1, Fig. 6 was transformed in Table 2.**

Table 1. I guess "shrinkage amplitude" or "shrinkage range" must be MDS, but I am not sure. Please clarify, and avoid using two different names for the same thing.

This table relates shrinkage with Tmin. I have already mentioned my concerns on why Tmin and not Tavg or Tmax. I have also mentioned that shrinkage may depend more on water stress than on ambient temperature. There is no information in this paper on the soil water status of the experimental trees, and this limits to a great extent the interpretation of the stem variations. **See comments to L180 for MDS and L271 for Tmin, above.**

*Fig. 2 does not give any information on the tree-to-tree variability. This must be provided, either in the figure or in the text. I guess the SDV values shown in this figure are the average of the records in the four trees. Whatever they are, please specified it in the legend. **We have implemented this comment.***

*Highlights

A derivative analysis of stem radius variation provided insights of temporal patterns in radial growth and water balance.

Stem diameter patterns were described by patterns of environmental variables.

The sensitivity of stem radius variations to environmental conditions was defined.

1 **A novel mathematical procedure to interpret the stem radius variation in olive trees**

2

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12

13 Running title: Climate influence on stem diameter variations

14

15 **Abstract**

16 Stem radius variations result from the fluctuation of environmental factors, mostly temperature
17 trend and water availability, in turn affecting plant water balance, and plant growth. High-resolution
18 analysis of stem radius variation provides insights into the temporal patterns in radial growth and
19 water balance, and their relationship with environmental variables. To test the causal effects of
20 temporal climate fluctuation on stem radius variation, a mathematical procedure was applied to
21 normalize and synchronize radial fluctuations and environmental parameters, whose baseline is
22 largely unexplored.

23 Stem radius variations were continuously monitored during two consecutive years in four saplings
24 field-grown olive tree cultivars (Canino, Cipressino, Leccino, Maurino) in an experimental farm in
25 central Italy, between November 2004 and October 2006, using automatic high-resolution point
26 dendrometers. A derivative analysis approach applied on point dendrometer records was
27 conveniently used to describe stem radius variation and to distinguish the timing of transition from
28 the dormant winter state to the active growth stage and till the slow expansion phase.

29 Stem diameter patterns showed intense shrinkage events suddenly after air temperature drop below
30 0 °C during winter. The onset of radial growth was delimited by the occurrence of rehydration
31 (beginning of transpiration cycles) and increase of air temperature (end of cold cycles). The course
32 of the growing season was described by patterns of air temperature, reference evapotranspiration,
33 cumulative degree-days, vapour pressure deficit and soil moisture deficit, and correlated to patterns
34 in stem radius cycles. Three phases of stem radius variation were evidenced through the seasonal
35 course: induction signal, growth period, and slow expansion.

36 This approach provides new and objective insights on shrinkage-swelling phenomena in
37 Mediterranean environments, related to dehydration and hydration cycles, which are difficult to
38 detect with empirical treatment of stem radius variation records. The ability to switch quickly
39 between dormancy to growth would enable the olive tree to restart physiological processes and to
40 cope with erratic climatic conditions of the Mediterranean region.

41

42

43 **Key words:** Mediterranean environment, point dendrometers; radial growth; seasonal course, water
44 relations.

45

46 **1. Introduction**

47

48 Monitoring of stem radius variation can detect patterns of plant growth stages and short-term
49 changes in environmental conditions, such as temperature, soil water content and rainfall.
50 Consequently, defining the relationship between stem radius variation and duration of growing
51 season remains an intriguing issue given the potential impact of climate change on plant phenology
52 (Chuine et al., 1998; Galán et al., 2005; Geßler et al., 2007; García-Mozo et al., 2010; Downes et
53 al., 1999; Deslauriers et al., 2003; Giovannelli et al., 2007).

54 The rhythm of stem radius changes is mainly induced by water uptake (i.e., reversible changes) and
55 wood growth (i.e., irreversible changes) (Zweifel et al., 2001; Deslauriers and Morin, 2005;
56 Intrigliolo and Castel, 2007; Zweifel et al., 2010). Although, the activation and cessation of plant
57 growth in spring and dormancy in winter has been mainly related to temperature (Heide and
58 Prestud, 2005; Rossi et al., 2006; Coccozza et al., 2009), as well as to water availability (Eilmann et
59 al., 2011), dendrometric records remain often difficult to interpret because the “signal” recorded
60 represents the non linear response to complex interactions between environmental conditions and
61 plant traits (genotype, function, and structure).

62 The complexity of the interaction between environmental conditions and growth dynamics is
63 indicated by the varying degree of temporal correspondences between stem radius variations and
64 specific climate variables (e.g., Zweifel et al., 2001). Indeed, automatic measurements of stem
65 radius variations provide an effective and sensitive proxy for plant water status assessment, which
66 have also been proposed for precise irrigation scheduling and implementation in tree phenological
67 modelling (e.g., Moreno et al. 2006; Fernández and Cuevas 2010). Several empirical methods are
68 currently applied on data gathered with dendrometers to monitor tree growth patterns and to
69 evaluate water storage depletion and replenishment of stems (e.g., Kozłowski and Winget, 1964;
70 Bouriaud et al. 2005; Offenthaler et al. 2001).

71 The use of automatic dendrometers defines large data sets at high resolution in space (micrometric
72 variation) and time (from second to minute), which require adequate and complex time series
73 analyses. Although a general pattern has been described based on graphical analyses of the time
74 series (e.g., Zweifel et al., 2005), useful tools to clearly define the seasonal patterns of radius
75 variation are still lacking. The time series analysis has several shortcomings, such as the algorithm
76 application to raw data to extract information (Deslauriers et al., 2011); the choice of an approach to
77 extrapolate water-related changes, for instance, could consider the stem cycle (Downes et al. 1999,
78 Deslauriers et al. 2003), the seasonal course (Zweifel et al., 2005) and the daily trend (Bouriaud et
79 al. 2005; Tardif et al., 2001). Furthermore, the plant phenology (Marsal et al., 2002), the plant

80 genotype (Cocozza et al., 2009), the non linearity of response of biological organisms, as well as the
81 erratic and extreme climatic events add to the elaboration of dendrometric data high degree of
82 unexpected variability, which makes the output difficult to interpret. Even though automatic
83 dendrometer measurements may introduce confusion in the identification of radial growth onset and
84 main period of growth (e.g., Deslauriers et al., 2007a; Turcotte et al., 2009), the standardization of
85 stem radius variations and the synchronization with environmental parameters is a prerequisite to
86 define the non linearity of response of biological organisms.

87 The olive tree, *Olea europaea* L., is a Mediterranean tree highly adaptable to harsh environments,
88 which may withstand water limiting conditions and poor soil fertility, while being sensitive to low
89 oxygen conditions in the soil and low temperature. However, many different cultivars are cultivated
90 worldwide, showing high degree of morphological and physiological plasticity in this woody
91 species (Rugini and Lavee, 1992). We investigated four cultivars specifically differing in tree
92 growth performances at maturity (trunk and canopy), and environmental stress responses (C.
93 Cantini, personal communication); Leccino and Canino have similar growth patterns and are more
94 vigorous than Maurino and Cipressino. These cultivars are of some interest for high quality timber
95 production in agroforestry plantations (for parquet, veneer or furniture end uses), and are suitable in
96 testing procedures for an objective analysis of stem diameter dynamics and disentangling overlaid
97 ecological and genetic factors that affect stem radius variation.

98 In olive trees, stem diameter dynamics has been used to derive indices of water stress (Cuevas et al.,
99 2010). Reference maximum daily shrinkage (MDS) values, needed to calculate the signal intensity,
100 are obtained by relating trunk diameter measurements and plant water relations, (Moriani and
101 Fereres, 2002; Fernández and Cuevas, 2010). Moreno et al. (2006) found that MDS at trunk level
102 reflected changes in the evaporative demand, with daily mean vapour pressure deficit (VPD) and
103 midday air temperature, therefore MDS can be used as control parameter for irrigation in olive tree
104 plantations. Tognetti et al. (2009) studied 16-year-old olive trees, grown under different water
105 treatments for 14 years in an experimental site plantation in southern Italy, and found that plants
106 growing under rainfed conditions showed a small increase in MDS during drought periods, pointing
107 to increasing water conservation and acclimation potential with progressively decreasing water
108 availability. Drought indices based on stem diameter variation, including MDS and stem growth
109 rate are, however, affected by factors other than water stress (such as seasonal growth patterns, crop
110 load, plant age and size) and of difficult interpretation (Fernández and Cuevas, 2010). Thus,
111 unbiased treatment of stem diameter variation records is needed, before using them for scheduling
112 irrigation and in ecological studies.

113 Empirical links between stem radius variations and climate condition patterns could be processed to
114 derive iterative models for wood properties as a function of environmental signals and physiological
115 processes and to improve the synchronization between these variables. The approach would be
116 useful in predicting the response of olive trees to global warming in threatened Mediterranean-type
117 ecosystems. Although several studies have been undertaken to link stem radial variations with
118 climate, interpretation of the results is still uncertain because of the superimposed effects of water
119 status, air temperature and cambial activity, and the role of fruit development and crop load in
120 influencing stem growth dynamics in olive trees (Moriana et al., 2003). Stem radius changes must
121 be detrended for growth before being used as an index of environmental stress in trees (Zweifel et
122 al., 2005).

123 The study of stem radius variations may be addressed by using analytical solutions to seasonal
124 synchronization of stem phenology and environmental parameters. The importance of this study
125 approach relies on studying stem radius variations through an original mathematical approach that
126 provides new and thorough insights on tree adaptation to annual changing environmental
127 conditions. The application of mathematical functions is preferable to perform quantitative solutions
128 and sensitivity analysis (Balocchi, 1994). It was hypothesized that seasonal changes in climate
129 conditions, exerting a dominant control over stem radius variations would be synchronized through
130 a mathematical approach that consider the non linearity of biological system.

131 A detailed high-resolution analytical approach to describe the correspondence between stem radius
132 variation in the four olive tree cultivars and climate variables was applied, defining the duration of
133 growing phases and coupling processes of stem diameter with minimum air temperature (T_{\min}) in
134 winter season, and reference evapotranspiration (ET_0) and VPD in the vegetative season. The study
135 was conducted to define the possibility of applying mathematical functions on stem radius variation
136 records.

137

138 **2. Materials and Methods**

139

140 *2.1 Study area and experimental plantation*

141 The experiment was conducted during the years 2004-2006 at the Santa Paolina experimental farm
142 of CNR- IVALSIA, located in Follonica (GR), Toscana, central Italy (42°55'58'' N, 10°45'51'' E,
143 17 m a.s.l.), using olive trees of four cultivars (Canino, Cipressino, Leccino and Maurino) cultivated
144 at single-trunk free canopy (Gucci and Cantini, 2000) at a spacing of 4 m x 4 m. The cultivars were
145 chosen because of their different growing habit, vigour, frost resistance and water consumption. The
146 olive orchard is on a sandy-loam soil with natural cover crop and no tillage traditionally managed

147 again the main olive pests. The plants were not pruned during the three-years period of the
148 experiment so that the canopy was set free to grow naturally without any modification. During the
149 growing season preceding the experiment, all trees have been equally irrigated with a trickle system
150 to guarantee the uniformity of plant development. The monitoring was conducted on rainfed olive
151 trees, and started when plants were three years old. The experimental design was a complete
152 randomised block, replicated four times, with subplot for micro-morphometric analysis.

153

154 *2.2 Meteorological data*

155 Climate data were recorded every 15 minutes with a standard meteorological digital station placed
156 at 100 m from the orchard (quality-controlled data were supplied by Regione Toscana). The
157 variables measured were air temperature (T_{mean} , T_{max} and T_{min} , °C), total rainfall (P, mm), and
158 relative humidity (Rh, %). The maximum temperature was 34.6 °C in July 2006 and minimum
159 temperature was -6.6 °C in January 2006. The vapour pressure deficit (VPD) was calculated by
160 using the Goff–Gratch formulation for saturated water vapour pressure (Goff and Gratch, 1946).
161 Climate of the area is typical Mediterranean with hot summer and relatively cold winter (Fig. 1),
162 and hourly reference evapotranspiration (ET_0) was calculated following Hargreaves-Samani (Allen
163 et al., 1998). Soil moisture deficit (SMD, mm) was calculated as the difference between cumulative
164 ET and cumulative rainfall, with $SMD < 0$ set to zero.

165 In three periods of growing season, defined by distinctive stem radius variation (Table 1),
166 cumulative degree-days (CDD) were determined by the single triangle method from daily
167 maximum and minimum temperature, using the method of Zalom et al. (1983). Degree-day
168 estimates, based on a lower threshold of 10 °C and higher temperature of 30 °C, were totalled for
169 each day and compared to hourly sums for the same periods (Roltsch et al., 1999).

170

171 *2.3 Dendrometer measurement*

172 Stem radius variations were monitored between October 2004 and October 2006 using automatic
173 point dendrometers (Label et al., 2000) on four individual trees per each olive tree cultivar. The
174 used dendrometers measure the linear displacement of a sensing rod pressed against the bark. The
175 operating principle of the linear variable transducer (AB Electronics Ltd., Romford, Essex, UK) that
176 responds to stem radius variation is described elsewhere (Giovannelli et al., 2007; Coccozza et al.,
177 2009). Trees were monitored with these high-resolution automated dendrometers installed on the
178 trunk at 50 cm from the soil surface, and shielded from direct sunlight and weather damage by
179 aluminium foils. Raw data were recorded every 15 min, and hourly and daily averages were then
180 calculated.

181

182 *2.4 Time series comparison*

183 Continuous measurements of stem radius variation obtained with automatic dendrometers provide
184 time series characterized by a strong seasonal trend. However, the response of olive trees to changes
185 in environmental conditions (e.g., plant growth vs. increasing temperature) is complicated by
186 ecological adaptation of the cultivar examined. The hourly values of stem radius of the four olive
187 tree cultivars, measured using automatic point dendrometers, were statistically analysed with the
188 Time Series Analysis Programme (TSAP) software package (Frank Rinn, Heidelberg, Germany),
189 which was originally developed as a tool for cross-timing of tree-ring series. The degree to which
190 the time series of different cultivars are correlated was estimated through cross-correlation method
191 to evaluate intrinsic variability under the same environmental conditions. In dendrochronology two
192 main concepts have been used to express the quality of agreement between time series:
193 *Gleichläufigkeit* (Glk) and/or *t*-values. Raw diameter values were compared statistically by the
194 percentage agreement in the signs of the first differences of the two time series (the Glk) (Kaennel
195 and Schweingruber, 1995). In this case, the Glk is a measure of the day-to-day agreement between
196 the interval trends of two time series based upon the sign of agreement, or the sum of the equal
197 slope intervals as a percentage. With an overlap of 50 days, Glk becomes significant ($P < 0.05$) at
198 62% and highly significant ($P < 0.01$) at 67%. With an overlap of 10 days, Glk becomes significant
199 ($P < 0.05$) at 76% and highly significant ($P < 0.01$) at 87% (Kaennel and Schweingruber, 1995). In
200 this study, the analysed time series were mostly longer than 50 days and the quality of agreement
201 between time series was considered successful if the value of Glk was $> 60\%$. The statistical
202 significance of the Glk (GSL) was also computed. The TVBP, a Student's *t*-value modified by
203 Baillie and Pilcher (1973) and further developed by Munro (1984), was used for investigating the
204 significance of the best match identified. The TVBP is commonly used as a statistical tool for
205 comparing and cross timing of time series, determining the degree of correlation between curves.
206 This method eliminates low-frequency variations in the time series, as each value is divided by the
207 corresponding 5 days moving average.

208

209 *2.5 Stem radius variation*

210 The analysis of seasonal patterns was conducted by studying periods of 10 days, evaluating the
211 amplitude and frequency phase of stem radius variation. To characterize seasonal stem radius
212 variation over the year, the duration (days) of each phase was calculated considering the occurrence
213 of environmental conditions (T_{\min} , ET_0 and VPD, depending on the season) and the intensity of
214 stem shrinkage, defined by the amplitude of daily stem radial oscillation. The growing season was

215 classified in phases according to three criteria: (i) duration, (ii) environmental conditions that origin
216 cycle phases and (iii) net radius variation (stem radius variation or increment) (Table 1).

217 Two phases of the diurnal cycle of stem radius variation were defined, according to Downes et al.
218 (1999): ‘shrinkage’ was considered as the decrease in stem size from a local maximum to a local
219 minimum of the same event (i.e., the daily period during which the stem radius decreased in the
220 dormant and growing season); ‘recovery’ was measured as the increase in stem radius from a
221 previous minimum until a successive maximum in the growing season, when stem returned to its
222 size before shrinkage in the dormant season (i.e., the portion of the daily cycle during which the
223 stem radius increased until reaching the same or upper position with respect of the local maximum
224 before stem shrinkage, in the dormant and growing season, respectively) (Drew et al., 2008).

225 Stem radial fluctuations (i.e., stem radius shrinkage in winter and stem diameter increment, SDI, in
226 the growing season) correspond to circadian variation calculated as the difference between the
227 maximum point of stem shrinkage and the onset of this event. The diurnal thermal minimum and
228 thermal excursion (variation) were recorded for every stem shrinkage event. The amplitude of the
229 stem shrinkage was calculated considering the variation between the beginning and the end of the
230 shrinkage phase. The daily mean approach gave results more similar to the stem cycle than the daily
231 maximum (Deslauriers et al., 2007a).

232 The seasonal course was classified and analysed starting with the identification of daily stem radial
233 variation in each period, according to the classification of Tardif et al. (2001), allowing a division of
234 the year into three periods: winter shrinkage, spring rehydration and summer transpiration. Seasonal
235 climatic changes were determined with the environmental data (air temperature, ET_0 , VPD, SMD),
236 which define specific ranges of meteorological data, and, then, observing the stem dynamics in
237 relation to environmental conditions (Fig. 1). The relationship between stem radius variation and
238 environmental variables defined characteristic patterns of (i) a period of instability in winter, with
239 strong episodes of swelling and shrinking of trunks (Figs. 2; 3); (ii) a short period of stability, with
240 practically no stem radius increment; (iii) a period of radial growth during spring and summer, with
241 a steep and continuous increase of the stem radius (SDI). Data of stem radius in the growing season
242 were related to ET_0 (VPD and SMD, data not shown). The variable function coefficients allowed
243 defining three stages, consequently to the degree of agreement of stem and ET_0 behaviour.
244 Moreover, each stage was characterized by duration in days and CDD (Table 1).

245

246 *2.6 Mathematical approach*

247 The first exploration at the data analysis was made classically through the use of rough data on the
248 seasonal rhythm of stem radius variation. The data analysis was done on a tree-by-tree basis, and

249 then averaged by cultivar. The relationship between climate data and stem radius variation using a
250 mathematical approach was tested. Rough data collected through year were first analysed
251 measuring the duration of each daily phase (and the rate of change in diameter). The shrinkage
252 values (mm or μm) correspond to stem radius variation calculated as the difference between the
253 maximum point of shrinkage and the onset of this event. The diurnal thermal minimum and thermal
254 excursion (variation) were recorded for every shrinkage event. The daily mean approach gave
255 results more similar to the stem cycle than the daily maximum (Deslauriers et al., 2007a).

256 Whereas, records of the growing period, spring and summer, were focused on the high-frequency
257 signals of stem growth through the “detrending” or “standardization” method, which removes the
258 effects of tree growth from the time series, retaining the environmental variability, as widely
259 applied in dendrochronology for tree-ring growth series (Cook and Kairiukstis, 1990; Fritts, 1976).
260 Considering an idealized series of radial increment measurements, that is n days in length, collected
261 from a tree growing without disturbances, and the basis of the allometry that affects the tree growth,
262 it is usually the case that this ‘raw’ radial increment series, such as ring-width series of tree rings,
263 exhibits a decreasing trend with increasing seasonal course, such as age in tree ring. A useful model
264 for this time-related trend in radial increment (such as ring-width) series is the modified negative
265 exponential curve (Fritts et al., 1969) of the form:

$$266 \quad G_t = ae^{-bt}$$

267 where a is the growth intercept at $t = 0$, b is the decay constant, and t is time in years. Since this
268 observed trend in ring widths is believed to be mostly non-climatic in origin (as it is related only to
269 tree age and size), the usual practice is to remove it from the tree-rings by fitting smooth growth
270 curves to the ring widths, like the modified negative exponential curve (Cook and Peters, 1997).

271 However, the pronounced noise signal, due to the sensitivity of the transducer, required an accurate
272 data analysis to allow a precise and correct elaboration. As a consequence, stem variation, air
273 temperature and VPD records were processed through the use of the derivative mathematical
274 function as a support to the use of rough data. The derivative analysis was done through OriginPro
275 software package (OriginLab, Massachusetts, USA). The derivative of a function represents an
276 infinitesimal change in the function with respect to one of its variables. For a function of a single
277 real variable, the derivative at a point is equal to the slope of the tangent line to the graph of the
278 function at that point. As the experimental data are a function of time $f(t)$, the analysis was
279 performed through time derivative $f'(t)$:

$$280 \quad f'(t) = \frac{df(t)}{dt} = \lim_{\Delta t \rightarrow 0} \frac{f(t + \Delta t) - f(t)}{\Delta t},$$

281 where t corresponds to time, and Δt is the time increment.

282 The derivative of the function at a chosen input value describes the best linear approximation with
283 respect to time of the function near that input value. This analysis allowed the rate of variation of a
284 function to be emphasized; when the derivative is found to be positive, the input function is
285 increasing, when it is negative the function is decreasing. Moreover, the higher value of the
286 derivative the faster is the change in the value of the function. In the present work, the magnitude of
287 peaks of derivative curves was not considered, as it was not chosen to study the rate of change of
288 the input function. In fact, due to the sensitivity of the transducer, the data present a permanent
289 noise, which means, on the derivative curve, a high number of events (peaks, x-axis intersection),
290 without correspondence with the scope of the present study. In order to amplify the eventual
291 differences between cultivars in the response to air temperature and VPD, normalized derivatives
292 were used. For each olive cultivar and each considered season period, the derivative curve was
293 simply divided by the maximum absolute value in order to provide data ranging from -1 to 1. The
294 intersection of the derivative curve with the x-axis, i.e., the null value of the derivative, corresponds
295 to an extreme of the input function. A positive (negative) derivative that intersects the x-axis
296 indicates a local maximum (minimum) of the input function.

297

298 *2.7 Pointer day analysis*

299 Pointer days were analysed as pointer years in dendrochronology (Neuwirth et al., 2007), in order to
300 show daily radial growth patterns as affected by abrupt changes in meteorological conditions (e.g.,
301 Schweingruber et al., 1990). Extreme growth reactions within a sequence of days were classified as
302 “pointer days” representing extreme days of individual trees (positive or negative growth
303 conditions). All raw daily stem radius measurement series were transformed into high-frequency
304 time series of pointer values using a two-step approach. Ratios between the raw daily measurements
305 for single cultivar series and their 13-day moving average were calculated according to Cropper
306 (1979). Cropper-values were then normalized to have a mean of zero and a standard deviation of
307 one over the season, which all cultivar have in. The resultant data highlight inter-daily growth
308 anomalies. The time series of normalized Cropper-values, allowed for the interpretation of daily
309 standard deviation units of site- and species-specific growth characteristics. In addition to the
310 intensity of the growth anomalies, Cropper-values defined three classes of positive and negative
311 growth deviations: “weak” for values > 1 , “strong” for values > 1.28 , and “extreme” for values $>$
312 1.645 . Growth deviations with Cropper-values between -1 and 1 are named as “normal”. These
313 thresholds correspond to the probability density function of the standardized normal distribution.

314

315 **3. Results**

316

317 *3.1 Stem radius variations*

318 The dynamics of environmental data showed the typical pattern, with the lowest values of
319 temperature, VPD and ET_0 during the winter months, and highest values in the rest of year (Fig. 1).

320 The daily stem radius variation showed a highly significant correlation between trees within each
321 cultivar.

322 A strong similarity between comparison coefficients was found for patterns of the time series of
323 stem radius variation. Glk values were highly significant ranging between 74-96 %, i.e. 72% ($p <$
324 0.001), likewise GLS values always highly significant ($p < 0.001$). The TVBP values also showed
325 100% degree of correlation between time series (except for one case). As a consequence, the four
326 trees per cultivar were pooled together for the following analysis. Significant pointer days were not
327 observed; however, all cultivars showed positive radial growth anomalies following Cropper-values
328 that were below the threshold of 1, regardless of the season (spring or summer) or year (2005 or
329 2006) in the four studied olive tree cultivars.

330 The preliminary analysis of unrefined dendrometer measurements collected in winter showed that
331 the peaks of shrinkage prompted with thermal peaks of minimum daily air temperature recorded
332 immediately below $0\text{ }^{\circ}\text{C}$ (Fig. 2).

333 The study of daily temperatures showed succession of negative minimum thermal peaks in winter,
334 when minimum temperatures ranged between -5.6 and $12.3\text{ }^{\circ}\text{C}$ in 2004-2005 season, with the
335 lowest value in March, and between -6.6 and $11.1\text{ }^{\circ}\text{C}$ in 2005-2006 season, with the lowest value in
336 January. The seasonal trend in stem radius variations of the four olive tree cultivars went with the
337 cyclic phenological patterns (Fig. 3). At the beginning of the monitoring period (19 October 2004)
338 the mean diameter was 9.99, 8.30, 10.39 and 13.40 mm, while at the end of experiment (26 October
339 2006) was 27.86, 26.25, 25.34 and 31.01 mm in Leccino, Cipressino, Maurino and Canino,
340 respectively. Stem radius dynamics were characterized by marked stem shrinkage in winter and
341 moderate and continuous SDI in the growing season (Table 1).

342 In winter, from early December to mid March, the stem radius variations showed, per each stem
343 shrinkage event, a recovery phase not characterized by stem increment (Figs. 2; 3). The effects of
344 T_{\min} were recorded in stem winter shrinkage events of 101 and 106 days in length, respectively, for
345 2004-2005 and 2005-2006 (Table 1). Stem shrinkage occurred in coincidence of minimum
346 temperatures during winter, which at the end of the season was completely reversible. Stem
347 shrinkage events showed different amplitude ranges, up to 0.34 mm in 2004-2005 and 0.54 mm in
348 2005-2006 (Table 1). Stem shrinkage, suddenly appeared when T_{\min} dropped below $0\text{ }^{\circ}\text{C}$ (Fig. 2). In

349 2004-2005, 11 negative thermal cycles, defined as daily temperature fluctuation from the maximum
350 to the negative thermal peak, were recorded, in the range of minimum air temperature between -0.3
351 and -5.6 °C; whereas, in winter 2005-2006, 10 negative thermal cycles were between -0.7 and -6.6
352 °C.

353 A correspondence of minimum thermal peak and maximum stem shrinkage was observed through
354 normalized derivative function (Fig. 3), highlighting the coincidence of reduction in air temperature
355 and stem shrinkage in winter. The study of derivative suggested that the variation of the function
356 with respect to $y = 0$ defined the change in curve behaviour, concurrently for stem radius variation
357 and minimum air temperature in the four 4 cultivars (Fig. 3).

358

359 *3.2 Stem diameter increment*

360 The onset of radial growth was defined by the occurrence of stem increment following reduced
361 stem shrinkage events in combination with varying environmental conditions, namely induction of
362 transpiration cycles and increase of air temperature in correspondence with the end of cold cycles
363 (Fig. 1). The growing seasons were characterized by mean air temperature ranging between 3.7 and
364 26.0 °C in 2005 and between 8.8 and 27.0 °C in 2006, with the highest maximum temperatures of
365 34.1 °C and 34.6 °C in July of both years. In the growing season, the increase of air temperature
366 boosted SDI values (Fig. 1), SDI enduring from mid March through mid November in both years of
367 study. In the 2005 growing season SDI values were higher than in the following year, with different
368 incremental threshold between cultivars. Indeed, in 2005, SDI was higher in Canino (12.88 mm)
369 and Cipressino (10.91 mm) than Leccino (11.64 mm) and Maurino (8.12 mm); whereas, in 2006,
370 SDI was 4.27 mm in Canino, 6.84 mm in Cipressino, 5.84 mm in Leccino and 6.26 mm in Maurino.
371 Radial growth continued with the increase in SMD and cumulative ET_0 during summer (Fig. 1).
372 Daily mean ET_0 increased up to 6.25 and 6.48 mm in 2005 and 2006, respectively (DOY 209 and
373 195), decreasing thereafter, whereas cumulative values in ET_0 reduced the slope of increasing rate
374 (Fig. 1). The present study showed a direct correspondence between the normalized and detrended
375 stem radius and ET_0 time series in the growing season (Figs. 4; 5). A specular and opposite
376 behaviour was evident in the two curves (SDI and ET_0 time series). The negative (or positive)
377 values of stem diameter corresponded to positive (or negative) values of derivative functions of ET_0
378 in the four cultivars, during spring and summer, in both years of monitoring.

379 Daily mean VPD values increased from the beginning of the measurement period, reaching
380 maximum values in July, and then gradually decreased, from DOY (day of year) 210 in 2005 and
381 DOY 197 in 2006 (Fig. 1). The VPD ranged from 0.01 to 1.92 kPa in 2004, from 0.03 to 1.58 kPa
382 in 2005 and from 0.03 to 1.94 kPa in 2006. Values of SMD increased from a baseline reached in

383 DOY 74 (2005) and 84 (2006) to a maximum value in DOY 245 (2005) and 251 (2006) (Fig. 1).
384 The SDI showed statistically significant linear relationships with ET_0 (Table 2), and VPD and SMD
385 (data not shown), considering the three seasonal stages.

386 Table 1 showed the timing and duration of seasonal course, characterizing each cultivar per stem
387 shrinkage ranges in winter, and stem radius increments in spring and summer, in the two monitored
388 years. In 2005, stage I was equally long from 17 March to 20 April in Canino, Cipressino and
389 Leccino, whereas it was 56 days, up to 11 May, with 172 °C CDD in Maurino. Stage II differed in
390 period length between cultivars and consequently in CDD, ceasing in 1 July in Leccino and
391 Maurino, in 6 July in Canino, in 29 July in Cipressino. Stage III ended in December the 5th. In 2006,
392 stage I varied in period length from shorter in Maurino to longer in Leccino, ending in April (15 and
393 21, respectively) in Maurino and Canino, and in May (5 and 16, respectively) in Cipressino and
394 Leccino; CDD decreased from Leccino > Cipressino > Canino > Maurino. Stage II extended up to
395 14 June in Canino and up to 1 July in Cipressino, Leccino and Maurino; with similar CDD in
396 Cipressino, Leccino and Maurino. Stage III was longer in Canino than other cultivars; Cipressino,
397 Leccino and Maurino showed similar values.

398

399 **4. Discussion**

400

401 *4.1 Resolution of stem radius variation*

402 The study was aimed at normalizing and synchronizing stem radius variations and meteorological
403 variables in four olive tree cultivars, through a mathematical approach. Stem radius variation,
404 generally, is defined by stem water content and wood growth, including bark and the degradation of
405 dead phloem cells (Zweifel and Häslar, 2000). A detailed description was provided of seasonal
406 phases in olive trees, defining shrinkage cycles during winter and growth stages during the growing
407 season. This information may be conveniently used in the agronomic management of commercial
408 olive tree plantation and in the sensitive monitoring of varying ecological circumstances, and
409 extended to species with indeterminate growth habits and in climate with erratic patterns (Sprugel et
410 al., 1991).

411 Differences in sensitivity to environmental variables between cultivars were not observed; however,
412 stem radius variations resulted in agreement with seasonal climatic patterns. All cultivars showed
413 positive radial growth anomalies defined in the range of normal growth patterns (Neuwirth et al.,
414 2007), regardless of the season (spring or summer) or year (2005 or 2006). Significant pointer days
415 were not observed for the absence of remarkable environmental conditions.

416 Minimum air temperature induced winter shrinkage (Figs. 1; 2), while VPD, SMD and ET_0 patterns
417 were pioneering in the definition of the onset of moderate induction process in early spring and
418 intrinsic radial growth later in the season (Figs. 1; 4; 5). Seasonal course of local climate was
419 regular, considering long term records for the area, which represented a regular signal explaining
420 the relationship between environmental conditions and cambial activity. Indeed, air temperature,
421 ET_0 , VPD and SMD provided information of critical environmental values at many stages of plant
422 development (Heide and Prestud, 2005; Badeck et al., 2004), useful to expand the application of
423 point dendrometers and stem radius variations in stress physiology of woody plants.

424

425 *4.2 Stem radius shrinkage during winter*

426 Stem shrinkage in winter reflected a direct sensitivity to low temperature following the seasonal
427 course (Fig. 3). Air temperature showed a weaving and cyclic course during the winter, with
428 negative thermal excursions. The nighttime shrinkage and daytime swelling of the stem are
429 primarily dependent on temperature (Zweifel and Häsler, 2000; Turcotte et al., 2009). Stem
430 shrinkage events occurred when temperatures suddenly dropped below 0 °C, in all cultivars
431 showing highly similar time series and significant response to low temperatures. Indeed, the
432 analysis of correspondence between stem shrinkage and air temperature did not reveal different
433 sensitivity to freezing air temperature amongst cultivars, which showed similar shrinkage and
434 swelling of stem during dormancy. In alpine conifers, a sharp stem radius changes in winter (frost
435 shrinkage and thaw expansion) are caused by changes of turgor in the elastic bark cells (cambium,
436 phloem, and parenchyma) in the same way as the diurnal radius fluctuations in summer (Zweifel
437 and Häsler, 2000). However, only small fluctuations in wood size are reported at moderate
438 temperatures (Dobbs and Scott, 1971; Molz and Klepper, 1973; Siau, 1984), which are mainly
439 driven by changes in relative humidity (Gall et al., 2002).

440 When temperatures fall below the freezing point of sap, the reduction in the bark cell volume
441 associated with the loss of intracellular water produces tissue shrinkage (Améglio et al., 2001),
442 which produces the contraction phase. The swelling of the stem, as a result of hydrating cells,
443 creates the expansion phase, which restores the tree to a physiologically active state specifying the
444 range of stem size that allows water transport in the xylem and gas exchange of the canopy (Zweifel
445 and Häsler, 2000). The stem radial swelling after winter shrinkage is also modulated by tissue
446 elasticity (Améglio et al., 2001). Zweifel and Häsler (2000) suggested that the morphological
447 structure of the xylem plays an important role in efficient radial water transport during freezing
448 periods. Améglio et al. (2001) found that the magnitude of stem shrinkage in response to freezing
449 temperatures is proportional to stem diameter. The onset of wood formation corresponds to the rise

450 in air and soil temperature, which is consistent with this experiment. However, the analysis of stem
451 radius variation and its coordination with seasonal climatic trend needs additional functional and
452 structural insights (Cocozza et al., 2009).

453 Wider stem shrinkage-recovery phases were observed in winter 2005-2006 than in previous year, in
454 all cultivars. The two winter seasons were characterized by similar minimum temperature peaks, -
455 5.6 °C and -6.6 °C in the first and second monitoring year, respectively. However, the number and
456 the amplitude of thermal excursion events, as wave span between maximum and minimum
457 temperature peaks, shaped differences in stem shrinkage cycles between the two winter seasons.
458 Indeed, winter 2005-2006 showed wide thermal fluctuations tallied by stem radius variations, while
459 limited thermal excursion events in winter 2004-2005 corresponded to relatively narrow stem radius
460 variations. It may be hypothesized that the amplitude of thermal fluctuations defines the
461 correspondence of radial variations with temperature peaks.

462 The derivative approach was useful for a clearer definition and description of stem radius variations
463 related to meteorological data. No tissue damage under low temperatures was detected, and each
464 stem shrinkage event was followed by recovery, as shown by derivative functions with negative
465 values (stem shrinkage phase) followed by positive values (stem recovery phase). Therefore, stem
466 radius variations were entirely reversible under freeze–thaw cycles, suggesting acclimation of these
467 olive tree genotypes to local environmental conditions. A general correspondence of stem shrinkage
468 peaks, namely radius sensitivity, with low winter temperatures was, therefore, confirmed for this
469 Mediterranean species, suggesting that point dendrometers could be consistently used to define
470 sensitivity thresholds of stem radius variations to low air temperatures.

471 Stem shrinkage in olive trees did not show a genotype-specific thermal threshold. By contrast,
472 Cocozza et al. (2009) identified specific thermal threshold in poplar clones. Comparison between
473 effects of low temperature in poplar clones *vs.* olive tree cultivars might be inappropriate, as well as
474 between the two experimental sites, which differ in temperature and precipitation patterns. Indeed,
475 olive tree is well known for its hard, heavy and dense wood, which contrasts with that of poplar.
476 However, these observations confirm the need to provide site- and plant-specific indications to
477 define the short-term response of stem sensitivity to environmental conditions, necessary for
478 predicting the long-term impact of climate change on tree growth. Dormancy release in olive trees
479 is mainly due to chilling, with a weak-compensating effect by combination of maximum and
480 minimum temperatures (De Melo-Abreu et al., 2004). The application of linear variable transducer
481 sensors was a useful and non-invasive tool to test cold acclimation of olive trees in field conditions,
482 which was confirmed a species with low chilling requirement for stem dormancy release (Rallo and
483 Martin, 1991).

484

485 *4.3 Radial fluctuation patterns in the growing season*

486 The monitoring of stem radius variations showed regular radial growth trends in the four olive tree
487 cultivars. The relation of SDI with environmental variables might be conveniently bounded to
488 define season lengthening. The increase of air temperature in spring triggered the induction phase of
489 radial growth development; temperature is, in fact, important for its effect on the initiation of
490 vegetation period (Orlandi et al., 2010); besides, decreasing air temperature in autumn defined the
491 end of growing period (Table 1). Thus, stage III had identical CDD in the four cultivars, for both
492 years, being defined by the arrival and the conclusion of winter season.

493 Nevertheless, air temperature and stem radius time series did not show a clear coincidence, as
494 observed in winter season. During the growing season, instead, VPD, SMD and ET_0 influenced the
495 radial growth phases. In other experiments, air temperature was found to be unrelated to radial
496 growth rate of olive trees (Cuevas et al., 2010). In this study, the four cultivars responded in
497 synchrony to climatic factors, although small differences between trees were observed, in the onset
498 and conclusion of each stage (Table 1). Indeed, SDI indicates the timing of xylogenesis (e.g.,
499 Deslauriers et al., 2003); at the present study site, SDI was recorded from March to December, in
500 2005, and from March to October, in 2006. The stage I of growth was set at the beginning of the
501 season from mid March to mid April (Table 1), being characterized by slight shrinkage events with
502 SDI below 1 mm. The stage II was set from mid April to early July, except for Canino in 2006 and
503 Cipressino in 2005, for which the end of stage II was set in mid June and late July, respectively. The
504 stage II was defined by an important inflexion of stem radial increment, up to 5 mm in both years,
505 with small differences between cultivars, and by a positive and significant correlation with ET_0
506 (Table 2). The stage III of growth was set from early July to mid November, expect for Canino in
507 2006 and Cipressino in 2005, as mentioned before. In 2006, the end of stage III was set in late
508 October, in coincidence with the stop of monitoring period. The stage III was characterized by SDI
509 up to 9 mm in 2005 and up to 2 mm in 2006, showing a negative correlation with ET_0 (Table 2).
510 Nevertheless, in the considered olive tree cultivars, climatic pressure overwhelmed genetic
511 variation.

512 Different dynamics of SDI emerged between years, with higher SDI values in 2005 than 2006,
513 suggesting inter-annual dynamics of stem radius variation due to variable xylem production and
514 specific thermal limits. Evidences of threshold temperatures for xylogenesis have been found for
515 trees at their ecological limit, namely altitudinal (Rossi et al., 2007). Canino showed the highest
516 SDI, probably related to absolute higher radial growth rates than others cultivars. Cumulative
517 degree-days based on temperature thresholds were quite similar for 2005 and 2006, at the site study.

518 Heat units could be used to quantify phenological phases of radial growth, which progressed as a
519 function of air temperature from the end of winter (phase of stem shrinkage). The different CDD
520 required by each cultivar probably defines genotype-specific heat needs prior to evolve in the
521 succeeding stage of stem growth.

522 The increase in ET_0 during the growing season drove stem shrinkage, as evidenced by the opposite
523 derivative values. The decrease in stem radius derivative function matched the increase in ET_0
524 derivative function, in spring and summer, depicting cambial activity. The relationship between SDI
525 and ET_0 may be explained by the association between MDS and daily tree transpiration (Moreno et
526 al., 2006). Michelakis (1997) showed that MDS in olive trees was highly correlated to evaporation
527 rate. Herzog et al. (1995) and Deslauriers et al. (2007b) observed that transpiration rate and VPD
528 influence MDS in alpine conifers, the correlations being dependent also on the duration of stem
529 radius and contraction phases. Impacts of changing environmental conditions on timing and
530 duration of the growing season have been assessed by long-term plant phenological observations
531 (Rutishauser et al., 2007), which may be further refined by monitoring stem radius variations. In
532 olive trees, stem physiological parameters determined through dendrometers and sap flow sensors
533 respond to variations in plant water status, soil moisture availability and evaporative demand (e.g.,
534 Tognetti et al., 2004, 2005). However, MDS has been found to be relatively more sensitive to
535 seasonal water availability than plant transpiration rate (Remorini and Massai, 2003; Ortuño et al.,
536 2006a, b; Conejero et al., 2007), affecting plant water status albeit supplied in modest amount
537 (Tognetti et al., 2007). Indeed, stem growth may not provide an independent measure of plant water
538 status (Intrigliolo and Castel, 2007), particularly in alternate-bearing species such as the olive tree
539 (Tognetti et al., 2009), which observed a small increase in MDS during drought periods, while
540 unclear differences were recorded between different irrigation treatments.

541 The regression analysis indicated a linear relation between SDI and ET_0 , defining three definite
542 stem developmental stages within the growing season. The stage I probably corresponded to the
543 “induction signal” (onset of growth), recording the increase of air temperature essential for
544 meristem reactivation and for shifting to successive stages. Stem radius increased from one morning
545 to the next in the stage II (growth period), following high evaporative demand. The stage III was
546 defined by SDI decreasing with increasing evaporative demand (slow expansion). Values of SDI
547 were high in stage III for 2005 and in stage II for 2006, although similar ET_0 ranges characterized
548 the two growing seasons. By contrast, low SDI values were observed in stage II for 2005 and in
549 stage III for 2006. Therefore, the range of SDI values could not be indicative of consecutive growth
550 stages. Patterns of stem development did not separate these olive tree cultivars from one another
551 clearly, and confirmed that little genetic differentiation occurs among cultivars in terms of stem

552 phenology. Indeed, the four olive tree cultivars showed little variation in the duration of the three
553 stem developmental stages, and the statistical analysis did not support differences in cultivar
554 sensitivity to local climate. The study approach may be conveniently applied in the definition of
555 specific requirements for succeeding phenological growth stages, when planning appropriate
556 management practices for modern olive tree growing (Sanz-Cortés et al., 2002).

557

558 **5. Conclusion**

559 In conclusion, this study provides a simple mathematical approach describing stem radius variations
560 and complements the analysis of data matrix obtained using continuous high-resolution
561 dendrometers (Deslauriers et al., 2007a, b; Giovannelli et al., 2007), in order to synchronize the
562 stem radius variation with seasonal climatic course. On this model species for Mediterranean-type
563 environments, we distinguished the timing of transition from the dormant winter state to the active
564 growth stage and till the slow expansion phase (see Cherubini et al., 2003), saving living tissues
565 from cold and drought damages. The approach provides new insights of shrinkage-swelling
566 phenomena in olive trees, related to dehydration and hydration cycles, which are difficult to detect
567 with stem radius variation alone. The ability to switch quickly between inactive to active stages
568 would enable the olive tree to restart physiological processes and to cope with erratic climatic
569 conditions of the Mediterranean region. Despite a long history of research into the physiological
570 pathways that underlie Mediterranean plant life cycle, we are still learning how multiple
571 environmental influences interact with endogenous cues to predict biological events. By
572 incorporating information on standardized stem radius oscillation, phenological observations and
573 ecophysiological studies could contribute to better explain how olive trees respond to global
574 warming (Orlandi et al., 2010).

575 The use of stem radius variation to extrapolate supplementary information on how trees use water
576 and to schedule irrigation in modern tree plantations (e.g., Moriana and Fereres, 2002; Conejero et
577 al., 2010; Egea et al., 2010) and to determine the timing of growth initiation (e.g., Downes et al.,
578 1999; Deslauriers et al., 2003; Turcotte et al., 2009) requires expert interpretation (Fernández and
579 Cuevas, 2010). The analysis of raw data and derivative values allowed an increase in the sensitivity
580 of the investigative approaches applied to describe the response of olive tree cultivars to
581 environmental conditions. This approach transforms the dendrometer signal in a physiological
582 signal, which may complement the monitoring of the progression of radial growth and phenological
583 stages in threatened Mediterranean ecosystems (García-Mozo et al., 2010). Further investigations
584 are needed to combine objective data processing of stem radius dynamics with stem radius
585 variation-derived drought indices for automatic irrigation scheduling, by reducing plant-to-plant

586 variability and improving their sensitivity; in particular, when implemented with other plant water
587 stress indicators (e.g., sap flow, spectral reflectance, infrared thermometry).

588

589

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591

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- 782

783 **Figure and table captions**

784

785 **Fig. 1** – Daily values of maximum and minimum air temperature (T_{\max} and T_{\min}), reference
786 evapotranspiration calculated following Hargreaves-Samani (ET_0 -HS), vapour pressure deficit
787 (VPD), cumulative values of reference evapotranspiration (ET_0 cum), soil moisture deficit (SMD),
788 and mean stem diameter in the four olive tree cultivars, during 2004, 2005 and 2006.

789

790 **Fig. 2** – Daily values of minimum air temperature (T_{\min} , dashed line) and mean stem diameter
791 variation in the four olive tree cultivars, (continuous lines), during the two winter seasons.

792

793 **Fig. 3** – Normalized derivatives of stem radius in the four olive tree cultivars (continuous lines), and
794 minimum air temperature (dotted line), during the two winter seasons. The standardization was
795 carried out using the equivalent derivative of stem radius and minimum air temperature, and the
796 relative value range between -1 and 1 .

797

798 **Fig. 4** – Normalized detrended derivative values of stem radius in the four olive tree cultivars and
799 ET_0 in spring 2005-2006. The standardization was carried out using the equivalent derivative of
800 stem radius and minimum air temperature, and the relative value range between -1 and 1 .

801

802 **Fig. 5** – Normalized detrended derivative values of stem radius in the four olive tree cultivars and
803 ET_0 in summer 2005-2006. The standardization was carried out using the equivalent derivative of
804 stem radius and minimum air temperature, and the relative value range between -1 and 1 .

805

806 **Table 1** – Timing (DOY) and duration (days) of seasonal course, thermal range (T_{\min} range),
807 shrinkage amplitude (shrinkage range), stem diameter increment (SDI), and cumulative degree day
808 (CDD) were reported per each cultivar, in the two years of monitoring.

809

810 **Table 2** – Relationship between SDI and ET_0 during the growing season 2005 and 2006. The three
811 consecutive growth stages, defined by the relation between SDI and ET_0 , were reported.

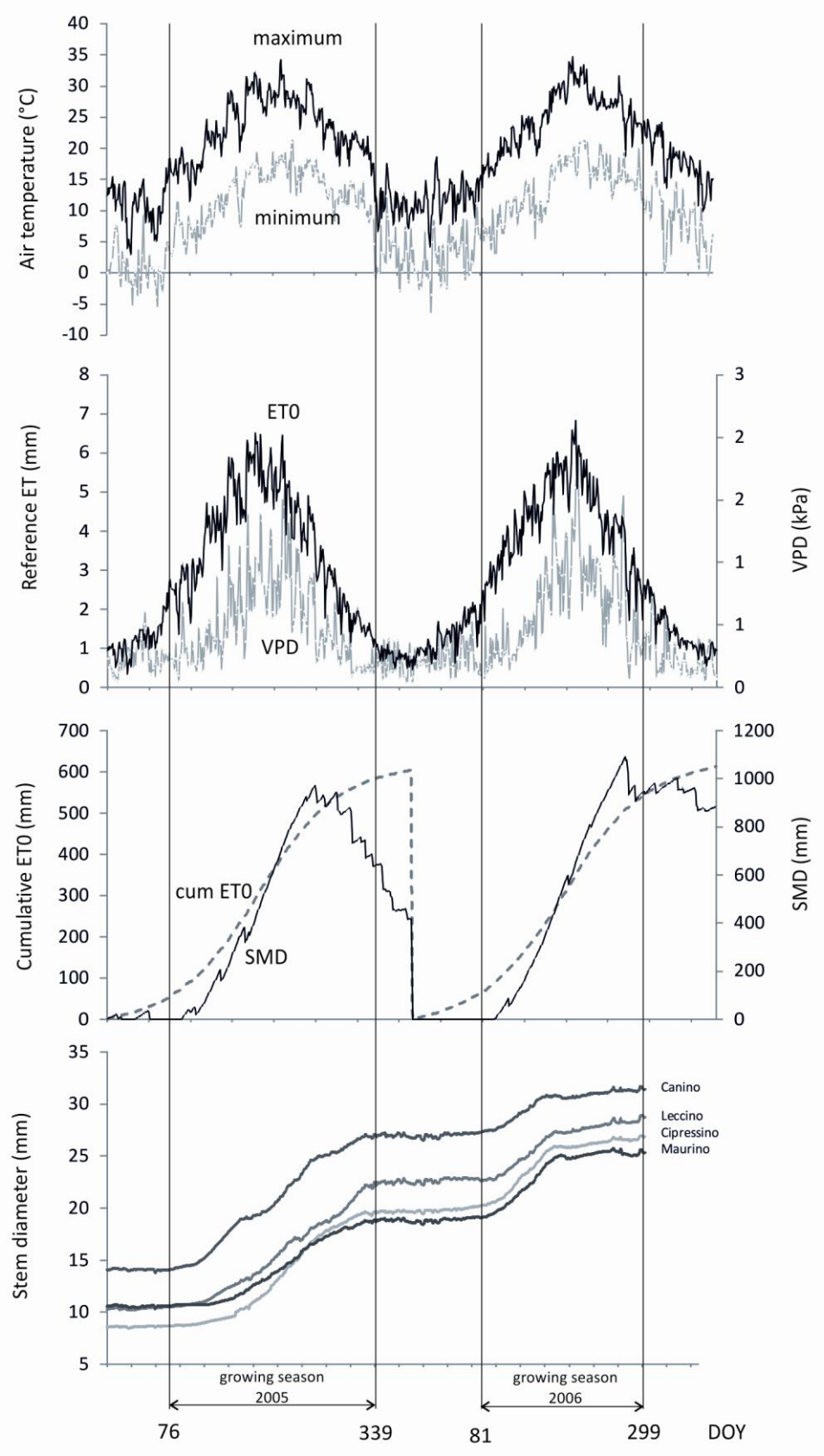
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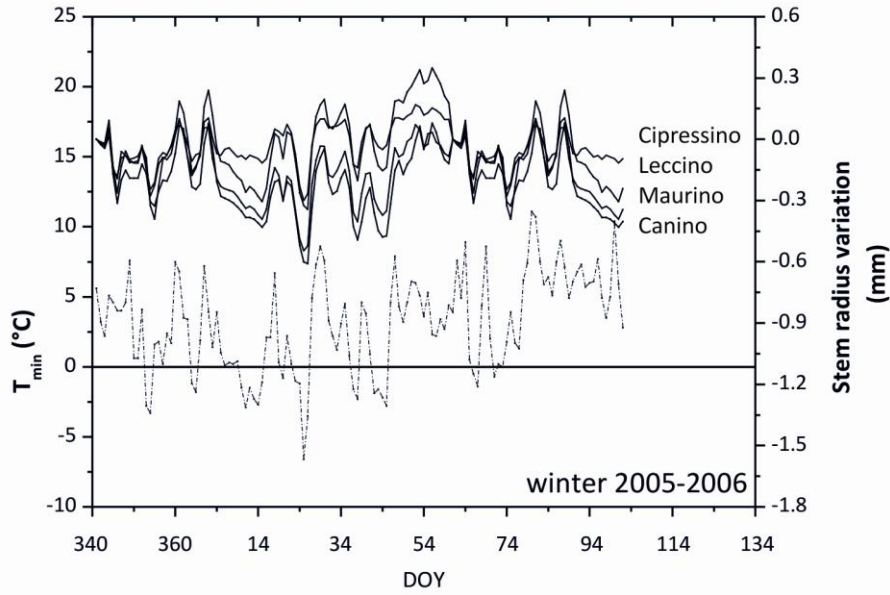
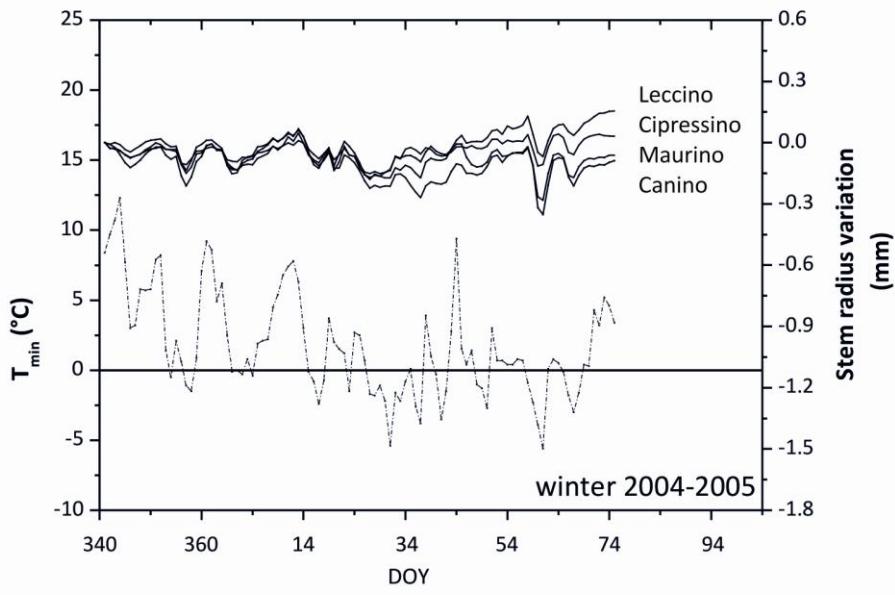
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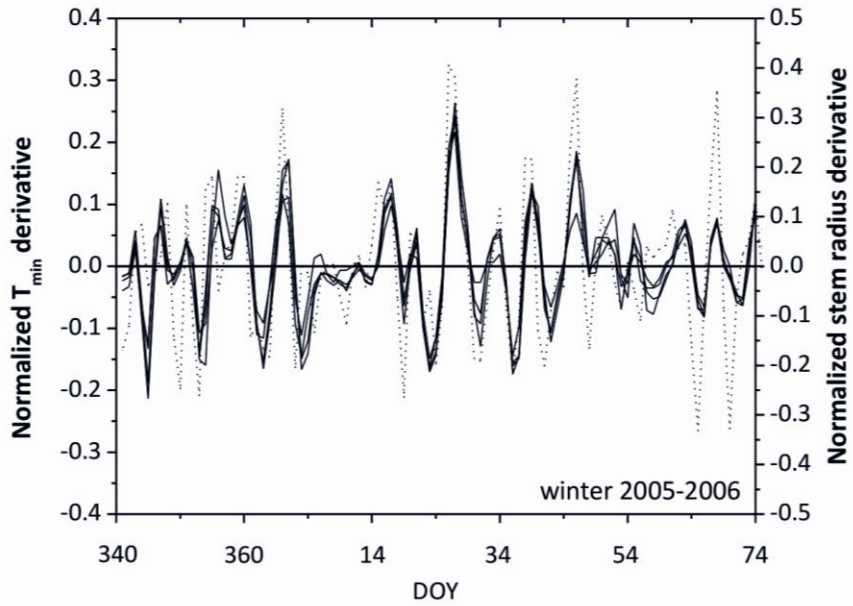
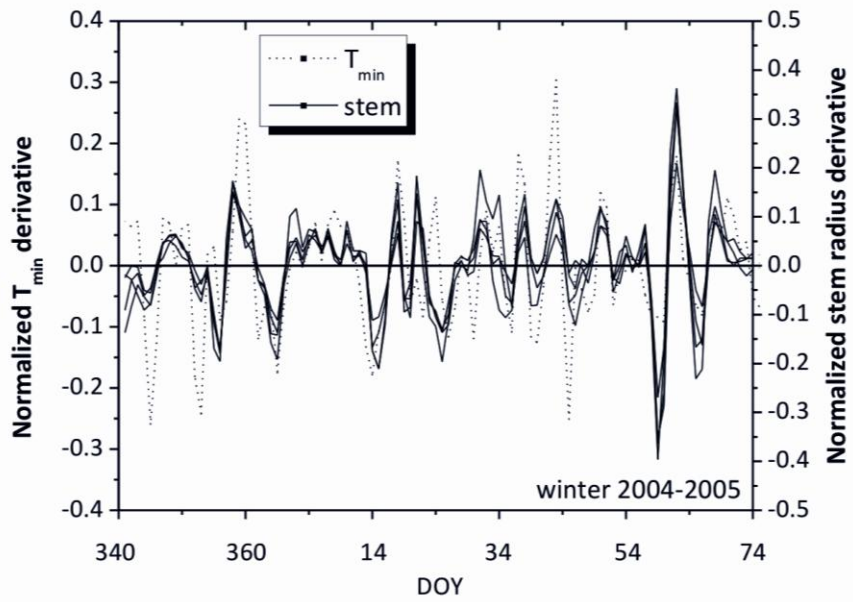
cultivar	dormant season			growing season						
	2004-2005		2005-2006	2005			2006			
				stage I	stage II	stage III	stage I	stage II	stage III	
Canino	DOY	341 ÷ 75	340 ÷ 80	76 ÷ 110	111 ÷ 187	188 ÷ 339	81 ÷ 111	112 ÷ 165	166 ÷ 299	
	days	101	106	35	77	152	31	54	134	
	T _{min} range (°C)	12.3 ÷ -5.6	11.1 ÷ -6.6	2.4 ÷ 11.7	5.2 ÷ 19.4	4.6 ÷ 21.5	2.8 ÷ 11.1	5.2 ÷ 15.4	8.4 ÷ 21.5	
	shrinkage range (mm)	0.05 ÷ 0.34					0.10 ÷ 0.50			
	CDD (°C)			76.6				73.4		
				730.0			416.9			
			2011.8			1949.7				
	SDI (mm)			12.88			4.27			
Cipressino	DOY	341 ÷ 75	340 ÷ 80	76 ÷ 110	111 ÷ 210	211 ÷ 339	81 ÷ 125	126 ÷ 182	183 ÷ 299	
	days	101	106	35	100	129	45	57	117	
	T _{min} range (°C)	12.3 ÷ -5.6	11.1 ÷ -6.6	2.4 ÷ 11.7	5.2 ÷ 19.6	4.6 ÷ 21.5	2.8 ÷ 12.0	5.2 ÷ 19.1	8.4 ÷ 21.5	
	shrinkage range (mm)	0.02 ÷ 0.16					0.04 ÷ 0.38			
	CDD (°C)			76.6				146.7		
				1012.1			620.1			
			2011.8			1949.7				
	SDI (mm)			10.91			6.84			
Leccino	DOY	341 ÷ 75	340 ÷ 80	76 ÷ 110	111 ÷ 182	183 ÷ 339	81 ÷ 136	137 ÷ 182	183 ÷ 299	
	days	101	106	35	72	157	56	46	117	
	T _{min} range (°C)	12.3 ÷ -5.6	11.1 ÷ -6.6	2.4 ÷ 11.7	5.2 ÷ 19.4	4.6 ÷ 21.5	7.6 ÷ 12.4	5.2 ÷ 19.1	8.4 ÷ 21.5	
	shrinkage range (mm)	0.04 ÷ 0.20					0.12 ÷ 0.54			
	CDD (°C)			76.6				210.7		
				669.3			620.1			
			2011.8			1949.7				
	SDI (mm)			11.64			5.84			
Maurino	DOY	341 ÷ 75	340 ÷ 80	76 ÷ 131	132 ÷ 182	183 ÷ 339	81 ÷ 105	106 ÷ 182	183 ÷ 299	
	days	101	106	56	51	157	25	77	117	
	T _{min} range (°C)	12.3 ÷ -5.6	11.1 ÷ -6.6	2.4 ÷ 11.8	7.1 ÷ 19.4	4.6 ÷ 21.5	2.8 ÷ 10.7	5.2 ÷ 19.1	8.4 ÷ 21.5	
	shrinkage range (mm)	0.06 ÷ 0.26					0.12 ÷ 0.46			
	CDD (°C)			172.0				48.7		
				669.3			620.1			
			2011.8			1949.7				
	SDI (mm)			8.12			6.26			

cultivar		Canino			Cipressino			Leccino			Maurino		
function $SDI = aET_o + b$		<i>a</i>	<i>b</i>	R^2	<i>a</i>	<i>b</i>	R^2	<i>a</i>	<i>b</i>	R^2	<i>a</i>	<i>b</i>	R^2
year 2005													
Stage	I	0.09	0.02	0.07	0.02	0.01	0.03	0.05	0.00	0.05	0.03	0.00	0.29
	II	1.32	3.67	0.62	0.78	-2.42	0.33	0.72	-2.14	0.62	0.40	-1.36	0.40
	III	-1.46	9.19	0.90	-1.39	7.97	0.90	-1.53	9.40	0.91	-1.17	7.36	0.92
year 2006													
Stage	I	0.16	-0.29	0.53	0.33	-0.65	0.63	0.31	-0.68	0.25	0.05	-0.07	0.31
	II	0.96	-2.93	0.60	1.49	-4.84	0.62	1.07	-3.84	0.52	1.62	-5.03	0.73
	III	-0.21	1.49	0.82	-0.23	1.49	0.85	-0.32	2.10	0.87	-0.12	1.14	0.38

Figure







Derivative

